

# PATENT PROSECUTION RECEIPT OF FILING

## 142360

**Venable Filing Number**

**Atty. Docket No:** 35646-175183

**Title of Application:** **BIDDER-SIDE AUCTION DYNAMIC PRICING AGENT, SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT**

**Application No:** 09/963,742

**Patent No. :**

**Attorney/LAA:** RPA:cja

**PTO Due Date:**

**Current Date:** October 12, 2007

**Filing Date:** September 27, 2001

**Issue Date:**

The following items were received from Venable LLP, Washington, D.C., by the U.S. Patent & Trademark Office on the date stamped hereon:

### U.S. PTO FEES ENCLOSED

☒ Transmittal Form SB-21

☒ Fee Transmittal Form SB-17

☐ New U.S. Patent Application  
(\_\_\_\_ pages of specification/claims)

☐ Rule 53(d) Continued Prosecution Application

☐ Rule 53(b) Continuation or Divisional Application  
(attach copy of specification, claims, drawings and declaration)

☐ U.S. National Stage Application of PCT Application

☐ Request for Continued Examination (RCE) under 37 CFR 1.114

☒ Supplemental Application Data Sheet

☒ Yellow filing receipt

☐ Priority Document-Cert. Copy of

☐ Appln.#: \_\_\_\_; Country: \_\_\_\_; Date Filed: \_\_\_\_

☐ Formal Drawings (\_\_\_\_ sheets, Figs.)

☐ Inventor Declaration

☐ Assignment w/Cover Sheet

☐ Response to Notice to File Missing Parts

☐ Response to Notice to File Missing Requirements

☐ Response to Requirement

☐ Information Disclosure Statement with cited references

☐ Response

☐ Amendment / Preliminary Amendment

☐ Petition/Request for Extension of Time (mo. ext.)

☐ Power of Attorney

☐ Petition to Revive

☐ Sequence Listing -- CDR Enclosed? \_\_\_\_ Yes \_\_\_\_ No

☐ Appeal Brief (in triplicate) / Reply Brief (in triplicate)

☐ Request for Oral Hearing

☐ Confirmation of Hearing Petition

☐ Issue Fee Transmittal

☐ Certificate of Correction

☐ Maintenance Fee Transmittal

☐ Status Inquiry

☐ Other: (Please describe below)

\_\_\_\_ Filing Fee

\_\_\_\_ Search Fee

\_\_\_\_ Examination Fee

\_\_\_\_ Additional Claim Fee

\_\_\_\_ Extension Fee

\_\_\_\_ IDS Fee

\_\_\_\_ Recordation Fee

\_\_\_\_ Notice of Appeal Fee

\_\_\_\_ Brief on Appeal

\_\_\_\_ Oral Hearing Request Fee

\_\_\_\_ Petition Fee

\_\_\_\_ Issue Fee

\_\_\_\_ Publication Fee

\_\_\_\_ Other Fees (Describe)

0.00 **Total Fees Paid**

**Charge the above fees as follows:**

☒ USPTO Deposit Account No. 22-0261

☐ USPTO Deposit Account No. \_\_\_\_\_

☐ USPTO not to charge any Deposit Account

Reviewed By

Signature of Attorney

Date

10/12/07

<h2 style="margin: 0;">TRANSMITTAL FORM</h2> <p style="font-size: small; margin-top: 10px;">(to be used for all correspondence after initial filing)</p>	Application Number	09/963,742-Conf. #9308
	Filing Date	September 27, 2001
	First Named Inventor	Rob R. Montgomery
	Art Unit	3691
	Examiner Name	O. Akintola
Total Number of Pages in This Submission	Attorney Docket Number	35646-175183

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form SB-17  <input checked="" type="checkbox"/> Transmittal Form SB-21  <input checked="" type="checkbox"/> Supplemental Application Data Sheet  <input checked="" type="checkbox"/> Yellow filing receipt <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/Incomplete Application  <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____  <input type="checkbox"/> Landscape Table on CD  <div style="border: 1px solid black; padding: 2px; margin-top: 5px;">Remarks</div>	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input type="checkbox"/> Other Enclosure(s) (please identify below):

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	VENABLE LLP		
Signature			
Printed name	Ralph P. Albrecht		
Date	10/12/07	Reg. No.	43,466

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4618). <h2 style="text-align: center;">FEE TRANSMITTAL</h2> <h3 style="text-align: center;">For FY 2008</h3>		<b>Complete If Known</b> Application Number 09/963,742-Conf. #9308 Filing Date September 27, 2001 First Named Inventor Rob R. Montgomery Examiner Name O. Akintola Art Unit 3691 Attorney Docket No. 35646-175183	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
TOTAL AMOUNT OF PAYMENT (\$) 0.00			

METHOD OF PAYMENT (check all that apply)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____	
<input type="checkbox"/> Deposit Account    Deposit Account Number: 22-0261    Deposit Account Name: Venable LLP	
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input type="checkbox"/> Charge fee(s) indicated below	<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17	<input checked="" type="checkbox"/> Credit any overpayments

FEE CALCULATION							
1. BASIC FILING, SEARCH, AND EXAMINATION FEES							
Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	
2. EXCESS CLAIM FEES							Small Entity
Fee Description	Fee (\$)	Fee (\$)					
Each claim over 20 (including Reissues)			50	25			
Each independent claim over 3 (including Reissues)			210	105			
Multiple dependent claims			370	185			
Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)		Multiple Dependent Claims		
		x			Fee (\$)		Fee Paid (\$)
HP = highest number of total claims paid for, if greater than 20.							
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)				
		x					
HP = highest number of independent claims paid for, if greater than 3.							
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof		Fee (\$)	Fee Paid (\$)		
		/50 = (round up to a whole number) x					
4. OTHER FEE(S)							
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge):							

SUBMITTED BY			
Signature	Registration No. (Attorney/Agent)	43,466	Telephone (703) 760-1681
Name (Print/Type)	Ralph P. Albrecht		Date 10/12/07